



# URBAN FINANCIAL SERVICES COALITION – NEW YORK

## MEMBERSHIP APPLICATION

### Membership Information

NAME FIRST: \_\_\_\_\_ MI: \_\_\_\_\_ LAST: \_\_\_\_\_

TITLE: \_\_\_\_\_

COMPANY: \_\_\_\_\_ DEPARTMENT: \_\_\_\_\_

COMPANY ADDRESS/STREET \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

HOME ADDRESS: \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

TELEPHONE: OFFICE \_\_\_\_\_ HOME: \_\_\_\_\_ FAX \_\_\_\_\_

EMAIL ADDRESS \_\_\_\_\_ SEND MAIL TO OFFICE [ ] HOME [ ]

### Professional Experience

DATE HIRED BY CURRENT EMPLOYER (MO/YR) \_\_\_\_\_ DATE OF LAST PROMOTION (MO/YR) \_\_\_\_\_

CURRENT OCCUPATION / FUNCTION	OCCUPATION/FUNCTION CODE (*)			YEARS EXPERIENCE
PREVIOUS OCCUPATION / FUNCTION				
PREVIOUS OCCUPATION / FUNCTION				

(\*) SEE CODES ON PAGE 3

### Education

	SCHOOL NAME	LOCATION	MAJOR	DEGREE
HIGH SCHOOL				
COLLEGE				
GRADUATE SCHOOL				
OTHER				

NAME OF APPLICANT: \_\_\_\_\_

HOW DID YOU LEARN ABOUT UFSCNY \_\_\_\_\_

HOW CAN UFSCNY BENEFIT YOU: \_\_\_\_\_

The following is a list of UFSCNY Committees, please check the ones on which you wish to serve:

- \_\_\_\_\_ Awards Dinner: Oversees the major fund raiser for scholarships & educational programs, held in April each year. Spotlights individuals & corporations that have improved the financial well-being of their communities.
- \_\_\_\_\_ By Laws: reviews and recommends changes to the By Laws.
- \_\_\_\_\_ Community & Political Affairs: coordinates speakers bureau & programs to support community groups; keeps abreast of issues/legislation that affect membership; develops programs to present issues to membership.
- \_\_\_\_\_ Corporate Liaison: maintains ongoing relationship with corporate members.
- \_\_\_\_\_ Finance: recommends ways to ensure the financial security of the organization.
- \_\_\_\_\_ Fund Raising: coordinates fund raising activities to support UFSCNY's programs.
- \_\_\_\_\_ Membership: reviews & approves new applicants, responsible for coordinating monthly meetings, membership drives, and general membership administration.
- \_\_\_\_\_ Professional Development: plans & implements educational workshops and financial/management development seminars to benefit members.
- \_\_\_\_\_ Professional Liaison: establishes communications networks & events with other minority professional organizations.
- \_\_\_\_\_ Public Relations: coordinates public relations efforts to promote UFSCNY to the media; published newsletter and maintain website.
- \_\_\_\_\_ Scholarship & Mentoring: administers the UFSCNY scholarship program; selects and awards scholarships to deserving students; provides financial & career/ educational programs to students.
- \_\_\_\_\_ Special Events: sponsors special events such as golf tournaments, art exhibitions, & receptions which enhance the image of the organization and promotes networking.

## Dues

Annual Membership Dues are \$125. for the July to June fiscal year and is applicable to Membership Categories A, B & C (please see page 4). Please Include \$10.00 application processing fee for new memberships (not required for renewal of membership)

Amount Paid: \$ \_\_\_\_\_.

- Check       American Express       Visa       MasterCard

Credit Card Account Number: \_\_\_\_\_

Expiration Date \_\_\_\_\_

Signature: \_\_\_\_\_

Full Name (as it appears on card) \_\_\_\_\_

## Optional Information

(Confidential – used for demographic analysis ONLY)

DATE OF BIRTH \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

SEX: [ ] MALE [ ] FEMALE

INCOME RANGE:

1. \$1. - \$19,999. [ ]      3. \$40,000 - \$59,999. [ ]      5. \$80,000 - \$99,999. [ ]  
2. \$20,000 - \$39,999. [ ]      4. \$60,000 - \$79,999. [ ]      6. Over \$100,000. [ ]

APPLICANT SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

SPONSOR: \_\_\_\_\_

DATE: \_\_\_\_\_

AUTHORIZATION TO RELEASE MAILING ADDRESS: YES [ ] NO [ ]

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## Occupational / Functional Codes

### CORPORATE OR GENERALIZED SERVICES

1010 Personnel/ Human Resources  
1020 Training / Mgt. Development  
1030 MIS/ Data Processing/ Office Automation  
1040 Corporate Communications / Public Relations  
1050 Marketing / Advertising  
1060 Accounting / Auditing  
1070 Legal  
1080 Bus. or Econ. Analysis / Planning, Forecasting  
1090 Administration (1)  
1100 General Management

### WHOLESALE / COMMERCIAL BANKING

2010 Lending  
2020 Credit Operations  
2030 Marketing / Product Management  
2040 Non-Credit Services  
2050 Letters of Credit  
2060 Asset / Liability Management  
2070 Trust / Securities  
2080 Leasing  
2090 Private Banking

### RETAIL CONSUMER BANKING

3010 Branch Services / Consumer Credit  
3020 Branch Administration / Operations  
3030 Marketing / Product Management  
3040 ATM Operations  
3050 Credit Card Services / Marketing  
3060 Credit Card Operations

### INVESTMENT BANKING

4010 Corporate Finance  
4020 Public Finance  
4030 Mergers / Acquisitions  
4040 Financial Analysis  
4050 Portfolio Management  
4060 Trading – Securities, Financial Inst.  
4070 Trading – FX  
4080 Operations

### OTHER

5010 Miscellaneous Financial Services (2)  
5020 Not Currently Employed  
5030 Consultant - Management Services  
5040 Consultant – MIS/ DP  
5050 Vendor to Financial Services Industry  
5060 Insurance  
5070 Entrepreneur

#### Footnotes

- (1) Administration includes any function not specifically involving banking products or services to customers: examples are accounts payable, security, secretarial services, building services, travel services.
- (2) Miscellaneous Financial Services includes products or services connected with financial services activities, but not covered by the areas listed above: examples are credit counseling, appraising, etc.

### Membership Committee Approvals

Date Received: \_\_\_\_\_ By: \_\_\_\_\_

Date Reviewed: \_\_\_\_\_ By: \_\_\_\_\_

Approved

Declined

Referred

Explanation: \_\_\_\_\_

Member

Affiliate

Sponsor

Student Affiliate

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## General Instructions

- Furnish all information as completely as possible
- Sign and date application
- Obtain membership sponsor's signature
- Forward completed application and check to the attention of the *Membership Committee*
- Membership is valid for the current fiscal year (July 1– June 30)
- Include \$10.00 application processing fee for new memberships (not required for renewal of membership)

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## Membership Classification:

By-Laws, Article 111, Section 3

**Section 3** There shall be two (2) classes of membership: member and affiliate member. Sponsors and student affiliates of UFSCNY are not members of UFSCNY but include those who are aligned with the goals and objectives of UFSCNY.

- a) Members shall be personally employed by a Bank or Financial Services related entity in the States of New York and New Jersey who shall have been sponsored by a member in good standing of UFSCNY, approved by two-thirds (2/3) vote of the membership committee, and paid required assessments and dues.
- b) Affiliate members shall be personally employed by businesses or organizations serving the financial services industry in the New York and New Jersey areas as professionals whose duties require interfacing with financial services professionals in the New York/ New Jersey area; Former members who are no longer employed by a financial services institution but who have otherwise complied with section 3(a) of this article. Affiliate members shall be entitled to the same privileges and subject to the duties and assessments, including payment of dues, as members except that no affiliate member shall be eligible to become President, Internal Vice President, or External Vice President.
- c) A sponsor shall be an individual described in (a) or (b) above. As a sponsor, the individual receives correspondence and access to UFSCNY general membership meetings. Annual dues for sponsors will be set by the membership committee and approved by the Board and General Membership. Sponsors are not eligible to vote, hold an official position or Board position.
- d) Student affiliates shall be individuals attending institutions of higher learning on a full-time basis in a field allied to the financial services industry. As a student affiliate, the individual receives correspondence and access to the UFSCNY general membership meetings. Annual dues will be set by the membership committee and approved by the Board and General Membership. (Membership Dues for this category is \$60)
- e) Student affiliates must be proposed by members or affiliate members

**Please return with your remittance to:**

**Urban Financial Services Coalition – New York  
Attn: Membership Committee  
P.O Box 964 Bowling Green Station  
New York, NY 10274**

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(212) 621-7727 (Phone)  
(646) 390-6610 (Fax)  
[www.UFSCNY.org](http://www.UFSCNY.org)

*Urban Financial Services Coalition – New York (UFSCNY) formerly Urban Bankers Coalition, Inc (UBC), established 1974.  
UFSCNY is an affiliate of the Urban Financial Services Coalition (UFSC), website [www.ufsc.org](http://www.ufsc.org)*